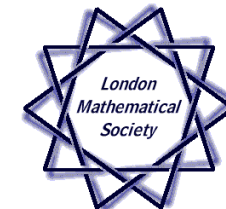
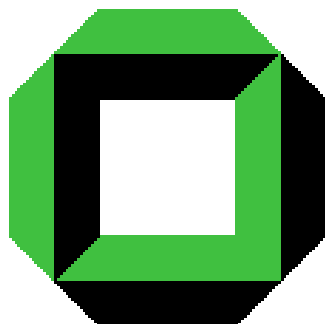


Workshop on
Quantum Information Theory
University of York, 6–8 July 2005



Quantum Error Correction

Markus Grassl



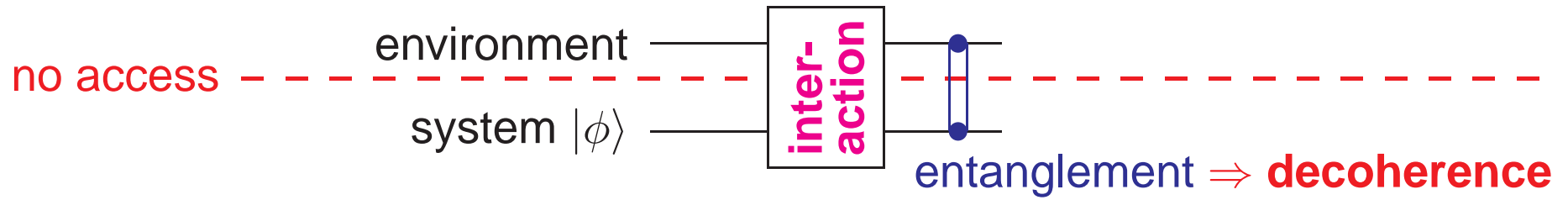
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<http://iaks-www.ira.uka.de/QIV>

Outline

- general setting of quantum error correction
- approaches from
 - physics
 - discrete math/computer science
- bounds on QECC and optimal QECC
- non-qubit QECC
- qudits and finite fields
- encoding circuits
- graph codes
- conclusions

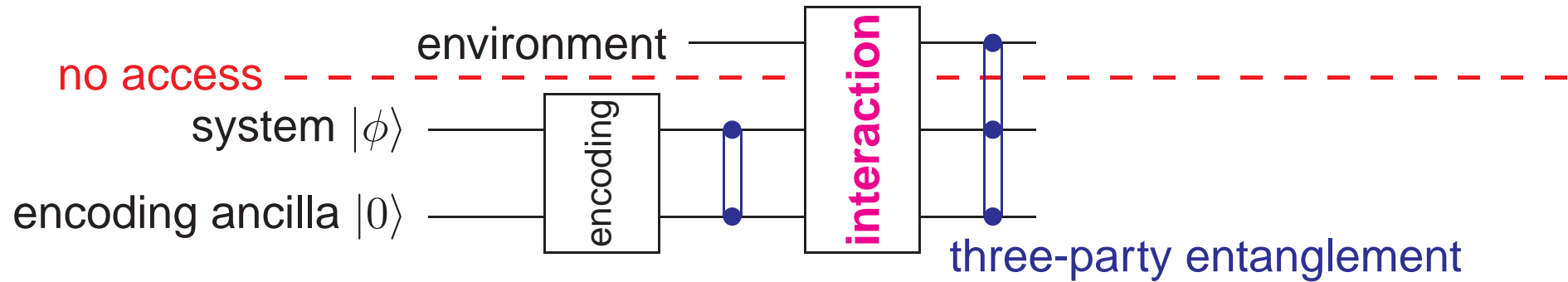
Quantum Error Correction

General scheme



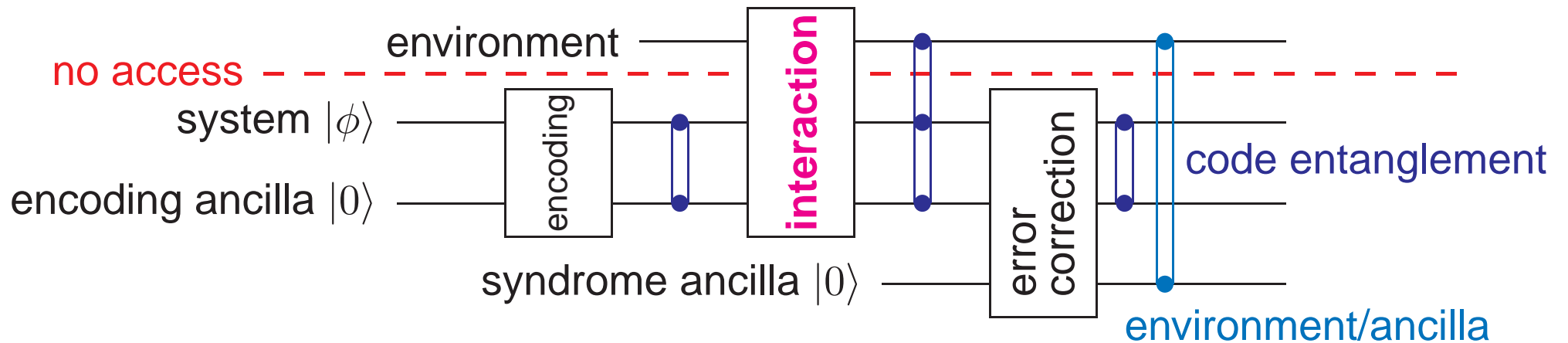
Quantum Error Correction

General scheme



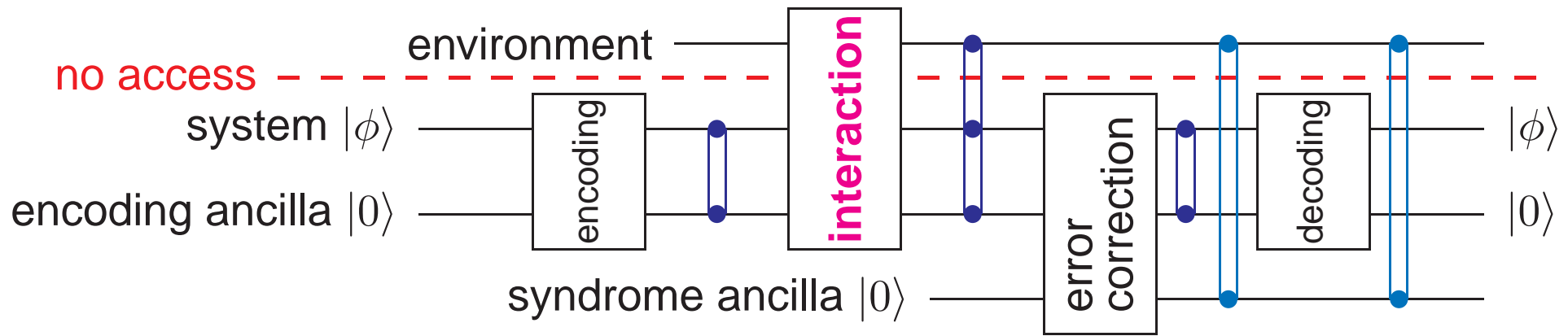
Quantum Error Correction

General scheme



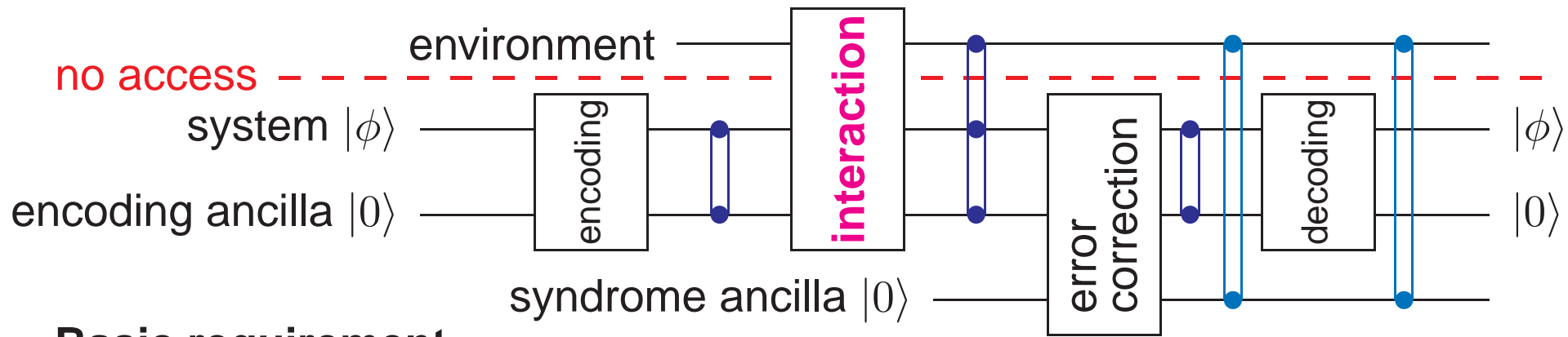
Quantum Error Correction

General scheme



Quantum Error Correction

General scheme



Basic requirement

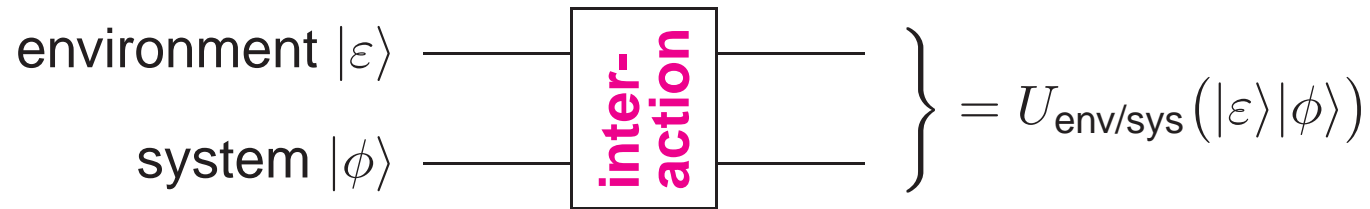
some knowledge about the **interaction** between system and environment

Common assumptions

- no initial entanglement between system and environment
- local or uncorrelated errors, i. e., only a few qubits are disturbed
 \implies CSS codes, stabilizer codes
- interaction with symmetry \implies decoherence free subspaces

Interaction System/Environment

“Closed” System



“Channel”

$$Q: \rho_{\text{in}} := |\phi\rangle\langle\phi| \longmapsto \rho_{\text{out}} := Q(|\phi\rangle\langle\phi|) := \sum_i A_i \rho_{\text{in}} A_i^\dagger$$

with Kraus operators (error operators) A_i

Local/low correlated errors

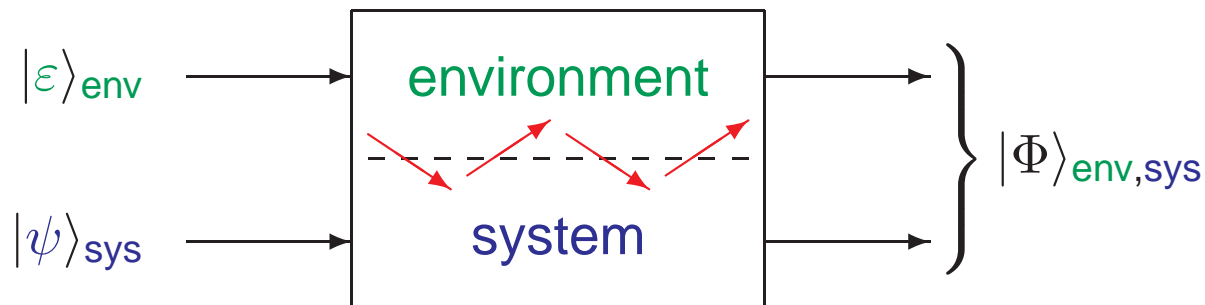
- product channel $Q^{\otimes n}$ where Q is “close” to identity
- Q can be expressed (approximated) with error operators \tilde{A}_i such that each A_i acts on few subsystems

Physics Approach: *Avoiding Errors*

see e. g. [Zanardi & Rasetti 97; Lidar; Knill] and many more

also called: noiseless subspaces/subsystems, passive error correction, error-avoiding codes

Main idea: *“Correct errors before they occur”*



known interaction (Hamiltonian)

Avoiding Errors: Mathematical Model

decomposition of the interaction algebra \mathcal{A} and the Hilbert space \mathcal{H}

$$\mathcal{A} \cong \bigoplus_j \mathbb{1}_{n_j} \otimes M(d_j, \mathbb{C}) \quad \mathcal{H} \cong \bigoplus_j \mathbb{C}^{n_j} \otimes \mathbb{C}^{d_j}$$

irreducible components of dimension d_j and multiplicity n_j

\implies for $d_j = 1$ exists an decoherence free subspace of dimension n_j
 (for $d_j > 1$ decoherence free subsystem)

Problem: requires non-trivial symmetry of the interaction

Designed Hamiltonians

Main idea: *“perturb the system to make it more stable”*

- fast (local) control operations
⇒ average Hamiltonian with more symmetry
(cf. techniques from NMR, Hamiltonian simulation etc.)
- additional stabilizing Hamiltonian
e. g. to suppress damping due to continuous monitoring the system
(cf. e. g. [Ahn, Wiseman & Milburn, PRA 67, 052310 (2003)])
- combine stabilizing & “operation” Hamiltonian

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Drawback: (for a computer scientist)

approach is highly dependent on the particular physical system

Computer Science Approach: *Discretize*

QECC Characterization

[Knill & Laflamme, PRA **55**, 900–911 (1997)]

A subspace \mathcal{C} of \mathcal{H} with orthonormal basis $\{|c_1\rangle, \dots, |c_K\rangle\}$ is an error-correcting code for the error operators $\mathcal{E} = \{E_1, \dots, E_\mu\}$, if there exists constants $\alpha_{k,l} \in \mathbb{C}$ such that for all $|c_i\rangle, |c_j\rangle$ and for all $E_k, E_l \in \mathcal{E}$:

$$\langle c_i | E_k^\dagger E_l | c_j \rangle = \delta_{i,j} \alpha_{k,l}. \quad (1)$$

It is sufficient that (1) holds for a vector space basis of \mathcal{E} .

\implies only a finite set of errors

Error Basis

Pauli Matrices

$$\sigma_x := \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \quad \sigma_y := \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}, \quad \sigma_z := \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}, \quad I := \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$$

- vector space basis of all 2×2 matrices
- unitary matrices which generate a *finite* group

Nice Unitary Error Basis [Knill, Klappenecker & Rötteler]

- set $\mathcal{E} := \{U_i : i = 1, \dots, d^2\}$ of d^2 unitary matrices $U_i \in \mathcal{U}(d)$
- multiplicative structure of the indices

$$U_i U_j = \omega(i, j) U_{i * j} = \omega(i, j) U_k, \quad \text{where } k = i * j \text{ in some group}$$

Example: Weyl-Heisenberg Group

- Generators: $H_d := \langle X, Z \rangle$

where $X := \sum_{j=0}^{d-1} |(j+1) \bmod d\rangle\langle j|$ and $Z := \sum_{j=0}^{d-1} \omega_d^j |j\rangle\langle j|$
 $(\omega_d := \exp(2\pi i/d))$

- Relations:

$$(\omega_d^c X^a Z^b) (\omega_d^{c'} X^{a'} Z^{b'}) = \omega_d^{a'b - b'a} (\omega_d^{c'} X^{a'} Z^{b'}) (\omega_d^c X^a Z^b)$$

- Basis:

$$H_d / \zeta(H_d) = \{X^a Z^b : a, b \in \{0, \dots, d-1\}\} \cong \mathbb{Z}_d \times \mathbb{Z}_d$$

trace-orthogonal basis of all $d \times d$ matrices

Local Error Model

Error Basis for many Qudits

\mathcal{E} error basis for subsystem of dimension d with $I \in \mathcal{E}$

$\implies \mathcal{E}^{\otimes n}$ error basis with elements $E_1 \otimes \dots \otimes E_n, E_i \in \mathcal{E}$

Code Parameters

$$\mathcal{C} = \llbracket n, k, d \rrbracket$$

n : number of subsystems used in total

k : number of (logical) subsystems encoded

d : “minimum distance”

– correct all errors acting on at most $(d - 1)/2$ subsystems

– detect all errors acting on less than d subsystems

– correct all errors acting on less than d subsystems at known positions

No-Cloning Bound

Assumption: $\mathcal{C} = \llbracket n, 1, n/2 + 1 \rrbracket$ exists

encoded state:

$$\sum_i \alpha_i |\psi_i\rangle |\phi_i\rangle$$

splitting:

$$\sum_i \alpha_i^2 |\psi_i\rangle \langle \psi_i|$$

$$\sum_i \alpha_i^2 |\phi_i\rangle \langle \phi_i|$$

padding:

$$\left(\sum_i \alpha_i^2 |\psi_i\rangle \langle \psi_i| \right) \otimes (|0\rangle \langle 0|)^{\otimes n/2}$$

$$(|0\rangle \langle 0|)^{\otimes n/2} \otimes \left(\sum_i \alpha_i^2 |\phi_i\rangle \langle \phi_i| \right)$$

correction:

$$\sum_i \alpha_i |\psi_i\rangle |\phi_i\rangle$$

$$\sum_i \alpha_i |\psi_i\rangle |\phi_i\rangle$$

two independent copies

\implies no-cloning bound: $d - 1 < n/2$

Quantum Singleton Bound

(E. Rains, Nonbinary Quantum Codes, quant-ph/9703048)

Let $\mathcal{C} = \llbracket n, k, d \rrbracket_q$ be a quantum error-correcting code. Then

$$2d \leq n - k + 2. \quad (2)$$

If equality holds in (2) then \mathcal{C} is pure and \mathcal{C} is a **quantum MDS code**.

- bound is valid for arbitrary $q := \dim \mathcal{H}$
- for QECCs over qubits ($q = 2$), the bound is almost never achieved
- QECCs $\llbracket 5, 1, 3 \rrbracket_d$ exist for all dimensions d [Chau], [Rains]
- QECCs $\llbracket 6, 2, 3 \rrbracket_p$ and $\llbracket 7, 3, 3 \rrbracket_p$ exist for all primes $p \geq 3$ [Feng]

Quantum MDS Codes (QMDS)

- QMDS codes exist *for sufficiently large primes* p
[Werner/Schlingemann]
- QMDS codes over $q = p^m$ exist [Grassl, Rötteler & Beth]
 - for all $n \leq q + 1$ and all possible parameters k and d
 - for $q + 1 < n \leq q^2 + 1$ with $d \leq q$ for *some* n (including $q^2 - 1, q^2, q^2 + 1$)
 - generalized Reed-Muller codes [Sarvepalli & Klappenecker]
codes of length $n = q, 2q, 3q, \dots, q^2$ with parameters

$$\mathcal{C} = \llbracket (\nu + 1)q, (\nu + 1)q - 2\nu - 2, \nu + 2 \rrbracket_q$$

for $0 \leq \nu \leq q - 2$

Bounds on Qubit-QECCs

$n \backslash k$	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14
3	2	1	1	1											
4	2	2	2	1	1										
5	3	3	2	1	1	1									
6	4	3	2	2	2	1	1								
7	3	3	2	2	2	1	1	1							
8	4	3	3	3	2	2	2	1	1						
9	4	3	3	3	2	2	2	1	1	1					
10	4	4	4	3	3	2	2	2	2	1	1				
11	5	5	4	3	3	3	2	2	2	1	1	1			
12	6	5	4	4	4	3	3	2	2	2	2	1	1		
13	5	5	4	4	4	3-4	3	3	2	2	2	1	1	1	
14	6	5	5	4-5	4	4	4	3	3	2	2	2	2	1	1

Bounds on $q = 4$ -QECCs

$n \backslash k$	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14
3	2	1	1	1											
4	3	2	2	1	1										
5	3	3	2	2	1	1									
6	4	3	3	2	2	1	1								
7	3	3	3	3	2	2	1	1							
8	4	4	4	3	3	2	2	1	1						
9	4	4	4	4	3	3	2	2	1	1					
10	4	4	4	4	4	3	3	2	2	1	1				
11	5	5	4	4	4	4	3	3	2	2	1	1			
12	6	5	4	4	4	4	4	3	3	2	2	1	1		
13	5	5	4	4	4	3-4	3	3	3	3	2	2	1	1	
14	6	5	5	4-5	4	4	4	4	4	3	3	2	2	1	1

(only new lower bounds by **direct** or **trivial** constructions are shown)

Non-Binary Quantum Codes

General Theory:

- Knill [1996], Klappenecker & Rötteler [2000]: Clifford codes
- Rains [1997], Hamada [2002]: codes for prime dimension
- Ashikhmin & Knill [2000]: codes for prime power dimension (CSS construction, $GF(q)$ -linear codes, additive codes)

Specific Constructions:

- Aharonov & Ben-Or [1996]: polynomial codes with $k = 1$
- Chau [1997]: QECCs $[[9, 1, 3]]_d$ and $[[5, 1, 3]]_d$ for arbitrary d
- Bierbrauer [1998]: $GF(q)$ -linear codes
- Grassl, Rötteler & Beth [2003]: quantum MDS codes for prime powers $q = p^\ell$

Qudits and Finite Fields (I)

Qudits

- tensor product of quantum systems of dimension d , in particular $d = p^m$, p prime
- *single qudit*

$$|\psi\rangle = \sum_{i=0}^{d-1} \alpha_i |i\rangle \quad \text{where } \alpha_i \in \mathbb{C} \text{ and } \sum_{i=0}^{d-1} |\alpha_i|^2 = 1$$

labels i of the basis states from an arbitrary set \mathcal{A} with d elements, e. g.

$$\mathcal{A} = \{0, 1, \dots, d-1\} \text{ or } \mathcal{A} = \mathbb{F}_{p^m} \text{ for } d = p^m, p \text{ prime}$$

Qudits and Finite Fields (II)

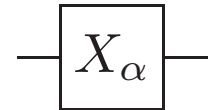
Finite Fields (Galois Fields)

- a field \mathbb{F}_q with q elements exists if and only if $q = p^m$, p prime
- prime field $\mathbb{F}_p \cong \mathbb{Z}/p\mathbb{Z}$
- \mathbb{F}_q is an m -dimensional vector space over \mathbb{F}_p
- $\mathbb{F}_q \cong \mathbb{F}_p[X]/(f(X)) = \{p(X) \bmod f(X) : p(X) \in \mathbb{F}_p[X]\}$
where $f(X)$ is an irreducible polynomial of degree m
- trace: $\text{tr}: \mathbb{F}_q \rightarrow \mathbb{F}_p$ where $\text{tr}(\alpha) := \sum_{i=0}^{m-1} \alpha^{p^i} \in \mathbb{F}_p$
- in \mathbb{F}_q exists a primitive $(q - 1)$ th root of unity

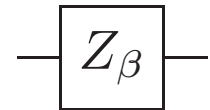
Elementary Gates (I)

One-Qudit Gates

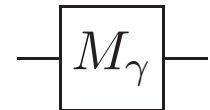
- $X_\alpha := \sum_{x \in \mathbb{F}_q} |x + \alpha\rangle\langle x|$ for $\alpha \in \mathbb{F}_q$



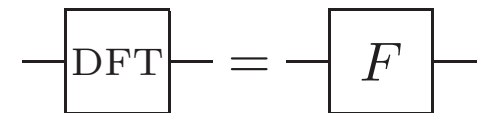
- $Z_\beta := \sum_{z \in \mathbb{F}_q} \omega^{\text{tr}(\beta z)} |z\rangle\langle z|$ for $\beta \in \mathbb{F}_q$



- $M_\gamma := \sum_{y \in \mathbb{F}_q} |\gamma y\rangle\langle y|$ for $\gamma \in \mathbb{F}_q \setminus \{0\}$



- $\text{DFT} := \frac{1}{\sqrt{q}} \sum_{x, z \in \mathbb{F}_q} \omega^{\text{tr}(xz)} |z\rangle\langle x|$

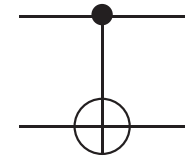


where $\omega := \exp(2\pi i/p) \in \mathbb{C}$

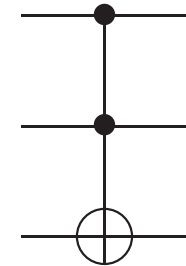
Elementary Gates (II)

Multi-Qudit Gates

- $\text{ADD}^{(1,2)} := \sum_{x,y \in \mathbb{F}_q} |x\rangle_1 |x+y\rangle_2 \langle y|_2 \langle x|_1$



- $\text{HORNER}^{(1,2,3)} := \sum_{a,x,b \in \mathbb{F}_q} |a\rangle_1 |x\rangle_2 |ax+b\rangle_3 \langle b|_3 \langle x|_2 \langle a|_1$



\implies universal for *classical* functions over \mathbb{F}_q

(Non-binary) Quantum Codes (QECC)

Error basis for *qudits*

[Ashikhmin & Knill, IEEE-IT 47, 3065–3072 (2001)]

$$\mathcal{E} = \{X_\alpha Z_\beta : \alpha, \beta \in \mathbb{F}_q\}.$$

commutator relations:

$$X_\alpha Z_\beta = \omega^{-\text{tr}(\alpha\beta)} Z_\beta X_\alpha$$

and

$$(X_\alpha Z_\beta)(X_{\alpha'} Z_{\beta'}) = \omega^{\text{tr}(\alpha'\beta - \alpha\beta')} (X_{\alpha'} Z_{\beta'})(X_\alpha Z_\beta)$$

Non-binary Stabilizer Codes

similar constructions as for qubit-codes:

- error-group $G := \langle X_{\alpha_1} Z_{\beta_1} \otimes \dots \otimes X_{\alpha_n} Z_{\beta_n} : \alpha_i, \beta_i \in \mathbb{F}_q \rangle$
- index group $G/\zeta(G) \cong (\mathbb{F}_q \times \mathbb{F}_q)^n$
- use classical error-correcting codes over finite fields
- additional constraint: self-orthogonal codes w. r. t. the symplectic inner product

$$(\alpha, \beta) * (\alpha', \beta') := \sum_{i=1}^n \text{tr}(\alpha'_i \beta_i - \alpha_i \beta'_i)$$

- abelian subgroups $S \leq G \iff$ self-orthogonal codes

Example: Ternary QECC $\mathcal{C} = \llbracket 9, 5, 3 \rrbracket_3$

best QECC for qubits: $\llbracket 9, 5, 2 \rrbracket_2$, $\llbracket 9, 3, 3 \rrbracket_2$, $\llbracket 11, 5, 3 \rrbracket_2$

stabilizer matrix $(X|Z)$ of \mathcal{C} :

$$(X|Z) = \left(\begin{array}{cccccccccc|cccccccc} 1 & 0 & 0 & 2 & 1 & 2 & 2 & 0 & 1 & 0 & 0 & 2 & 1 & 2 & 2 & 0 & 1 & 1 \\ 0 & 1 & 1 & 2 & 0 & 2 & 2 & 1 & 0 & 0 & 0 & 1 & 2 & 1 & 1 & 0 & 2 & 2 \\ 0 & 0 & 2 & 1 & 2 & 2 & 0 & 1 & 1 & 1 & 0 & 2 & 0 & 0 & 1 & 2 & 1 & 2 \\ 0 & 0 & 1 & 2 & 1 & 1 & 0 & 2 & 2 & 0 & 1 & 2 & 1 & 1 & 0 & 2 & 0 & 2 \end{array} \right)$$

Encoding Stabilizer Codes

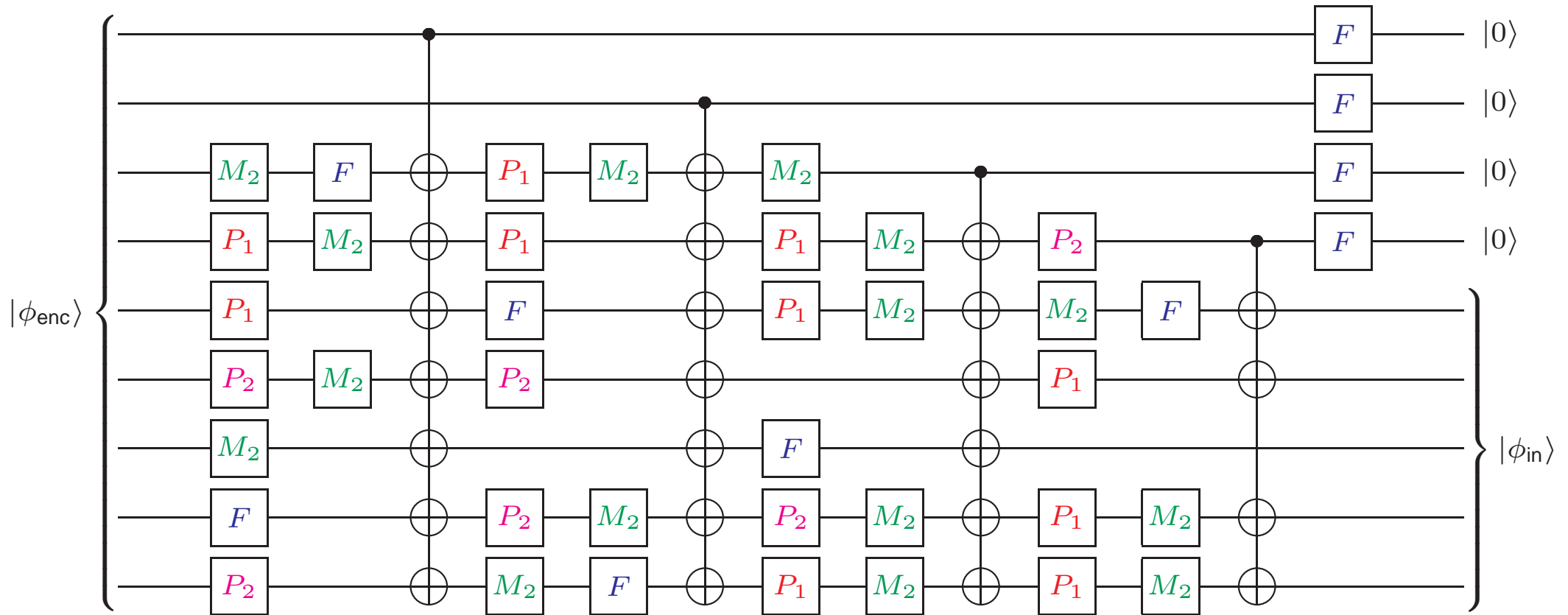
[Grassl, Rötteler & Beth, IJFCS, 14 (2003), pp. 757-775]

Basic idea: Use operations of the *generalized Clifford group* (or Jacobi group) to transform the stabilizer S into a trivial stabilizer

$$S_0 := \langle Z^{(1)}, \dots, Z^{(n-k)} \rangle.$$

- row/column operations on the matrix $(X|Z)$ to obtain “normal form” $(0|I0)$
- operations on $(X|Z)$ correspond to
 - “elementary” single-qudit gates
 - generalized CNOT-gate ADD
 - single qudit gate $P_\gamma := \sum_{y \in \mathbb{F}_q} \omega^{-\text{tr}(\frac{1}{2}\gamma y^2)} |y\rangle\langle y|$
(for q odd; slightly different for q even)

(Inverse) Encoding Circuit for $\mathcal{C} = \llbracket 9, 5, 3 \rrbracket_3$



Equivalence of Graph Codes & Stabilizer Codes

Graph Codes:

[Schlingemann & Werner quant-ph/001211]

- k input nodes and n output nodes
- encoding via Hamiltonian:
 - edges in the graph correspond to coupling of subsystems
 - edge labels correspond to (integral) coupling constants
- measurement of the input nodes (cf. Teleportation)

Equivalence

1. graph codes/states are stabilizer codes/state [quant-ph/001211]
2. stabilizer codes are graph codes [Grassl, Klappenecker & Rötteler, Oct. 2001], [Schlingemann, quant-ph/0111080]

Algorithm

INPUT: stabilizer matrix $G = (X|Z) \in \mathbb{F}_q^{(n-k) \times 2n}$ of $\mathcal{C} = \llbracket n, k, d \rrbracket_q$

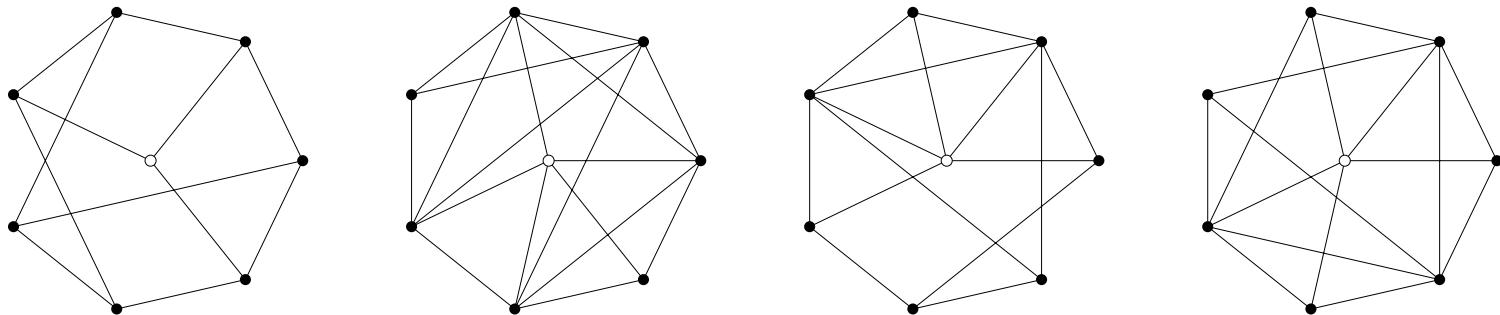
OUTPUT: graph corresponding to \mathcal{C}

- \mathcal{C} self-orthogonal code generated by G with $\mathcal{C} \subseteq \mathcal{C}^*$
- find self-dual code D mit $\mathcal{C} \subseteq D = D^* \subseteq \mathcal{C}^*$
- generator matrix $G' := (X'|Z') = \left(\begin{array}{c|c} X & Z \\ \hline \tilde{X} & \tilde{Z} \end{array} \right)$ of D
- operations on rows & columns (excluding ADD) yield $G'' = (I|A)$
- D self dual $\implies A$ symmetric \implies adjacency matrix of output vertices
- basis of $\mathcal{C}^* \setminus D \implies$ edges between input/output vertices

Graph is Not Unique

- transformation $G' \rightarrow (I|A)$ not unique
- basis of $D \setminus C$ not unique

\implies different (inequivalent) graphs for equivalent codes



\implies no obvious correspondence of graph properties and code properties

\implies alternative realization of a *stabilizer code* as graph code

Advantages of Non-Qubit QECC

- a single (abstract) particle is hard to control, but it has more than two degrees of freedom
 \implies use all of them
- non-qubit QECC have a higher minimum distance
 \implies they can tolerate errors on more subsystems
- detection of leakage into “unused” degree of freedom yields information about error positions
 $\implies \mathcal{C} = \llbracket n, k, d \rrbracket_q$ can correct errors at $d - 1$ *known* positions
- final example: 20 qubits:
 - qubit code $\mathcal{C}_1 = \llbracket 20, 12, 3 \rrbracket_2$ correction of a single error
 - quaternary code $\mathcal{C}_2 = \llbracket 10, 6, 3 \rrbracket_4$ errors at positions $2i - 1$ and $2i$ \implies even for qubits, non-qubit codes can be better

Outlook

- optimizing codes for specific channels
- good codes for fault tolerant operations
- combining passive error stabilization and active error correction

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